



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/688,493
Filing Date	10/17/2003
First Named Inventor	Eric H. Hedlund
Art Unit	2857
Examiner Name	Unassigned
Attorney Docket Number	20-LC-1974/624226-382

## ENCLOSURES (Check all that apply)

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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	John L. DeAngelis, Jr., Esquire, Reg. No. 30,622
Signature	Reusse Brownlee Wolter Mora & Maire, P.A.
Date	February 25, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 02/25/2004

Typed or printed	Pamela A. Pagel
Signature	Pamela A. Pagel
Date	02/25/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eric H. Hedlund, et al

Group Art Unit: 2857

Serial No.: 10/688,493

Examiner: Unassigned

Filed: 10/17/2003

Confirmation No.: 2194

Atty. Docket No.: 20-LC-1974/624226-382



For: Apparatus and Method for Performance and Fault Data Analysis

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

- ☒ 1. Pursuant to 37 CFR 1.97(b)  
(within 3 months of filing or prior to 1<sup>st</sup> Office Action)
- ☐ 2. Certification Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ 3. Fee Payment Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) (before issue fee payment)

February 25, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 and 1.98:

- ☒ 5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1<sup>st</sup> Office Action];
- ☐ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier; and
- ☐ 8. The required certification made in item 11 below; or

[ ] 9. The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

[ ] 10. 37 CFR § 1.95(d): [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is enclosed via check. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and

b) The required Certification is stated in item 11 below.

[ ] 11. Certification

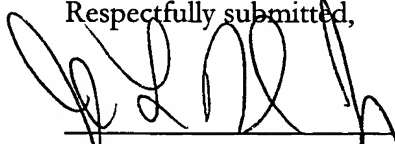
[ ] 12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[ ] 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[ ] 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. \_\_\_\_\_.

An original and two (2) copies of this document are enclosed.

Respectfully submitted,



John L. DeAngelis, Jr., Esquire

Reg. No. 30,622

Beusse Brownlee Bowdoin & Wolter, P.A.

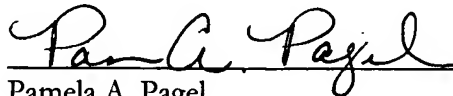
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Orlando, FL 32801

(407) 926-7710

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Pamela A. Pagel



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Eric H. Hedlund, et al**

Group Art Unit: **2857**

Serial No.: **10/688,493**

Examiner: **Unassigned**

Filed: **10/17/2003**

Confirmation No.: **2194**

Atty. Docket No.: **20-LC-1974/624226-382**

For: **Apparatus and Method for Performance and Fault Data Analysis**

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- ☐ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier; and
- ☐ 8. The required certification made in item 11 below; or

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☐ 11. Certification

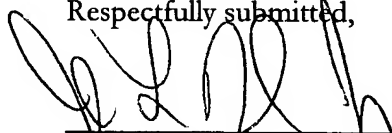
☐ 12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

☐ 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

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An original and two (2) copies of this document are enclosed.

Respectfully submitted,



John L. DeAngelis, Jr., Esquire

Reg. No. 30,622

Beusse Brownlee Bowdoin & Wolter, P.A.

390 N. Orange Ave., Suite 2500

Orlando, FL 32801

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Pamela A. Pagel



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Application Number	10/688,493
Filing Date	10/17/2003
First Named Inventor	Eric H. Hedlund
Art Unit	2857
Examiner Name	Unassigned
Attorney Docket Number	20LC-1974/624226-382

Sheet	1	of	2
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<b>Substitute for form 1449/PTO</b> <b>SUPPLEMENTAL</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/688,493		
		Filing Date	10/17/2003		
		First Named Inventor	Eric H. Hedlund		
		Art Unit	2857		
		Examiner Name	Unassigned		
Sheet	2	of	2	Attorney Docket Number	20-LC-1974/624226-382

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AA	JOHNSON, DANIEL; Data-Tronic Gas Turbine Information and Control System; 1981; Schenectady, New York, USA.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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